

# IMERG V07 Release Notes

George J. Huffman, David T. Bolvin, Robert Joyce, Owen A. Kelley, Eric J. Nelkin, Andrea Portier, Erich F. Stocker, Jackson Tan, Daniel C. Watters, B. Jason West

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## Summary

The algorithm for the Integrated Multi-satellite Retrievals for GPM (IMERG) has now been upgraded to Version 07. IMERG V07 involves a wide range of changes to all aspects of the algorithm, many of which are implemented in response to feedback on V06, which was the first time the IMERG record spanned the TRMM-GPM eras. IMERG V07 is being reprocessed for the entire TRMM-GPM record and thus supersedes all prior IMERG versions, as well as superseding the prior TRMM-based TMPA products (3B42, 3B43).

Retrospective Processing for the Final Run began on 1 July 2023 and completed on 30 August 2023. However, discovery of some 238 orbits of defective GPROF precipitation estimates across all passive microwave satellites over the TRMM and GPM eras led to a second retrospective processing for the V07 Final Run. The relatively long pause between the first Final Run retrospective processing and this second is partly due to an orbit boost that the GPM Core Observatory (GPM-CO) satellite performed on 7-8 November 2023. When this completes for the entire TRMM-GPM record, climatological calibrations will be computed for the Early and Late Runs, and both Initial Processing and Retrospective Processing for the Early and Late Runs will begin. This is expected to occur during November 2023 – February 2024. Links to the IMERG files are listed on the Data Directory of the GPM website: <https://gpm.nasa.gov/data/directory>, which point to archives hosted at the Goddard Earth Sciences Data and Information Services Center (GES DISC) <https://disc.gsfc.nasa.gov/datasets?keywords=gpm%20imerg%2007> and the Precipitation Processing System (PPS) [https://arthurhou.pps.eosdis.nasa.gov/Documents/Master\\_List\\_of\\_PPS\\_Data\\_Products.html](https://arthurhou.pps.eosdis.nasa.gov/Documents/Master_List_of_PPS_Data_Products.html), as well as several interactive sites. Please visit <https://gpm.nasa.gov/data/news> for the latest updates.

Initial evaluation results suggest that the changes in V07 collectively improve the performance of IMERG estimates in terms of its precipitation detection, systematic bias, and random bias. This includes improvements in IMERG over frozen, orographic, and coastal surfaces; in the consistency of histograms (including extreme values) between the TRMM and GPM eras; and performance over regions lacking gauge data, particularly the oceans. See Appendix 2: Initial Evaluation of IMERG V07. The next two sections summarize changes in IMERG for V07 and then list some known issues.

## Changes from V06 to V07

- *Changed and reorganized variable names.* To minimize misinterpretation of the variable names, reflect changes in the algorithm, and emphasize the most commonly accessed fields, several variables were renamed and/or moved to a group called “Intermediate” within the half-hourly HDF5 file. The V07 name/location for the variable relevant to most users is:

- *Grid/precipitationCal* → *Grid/precipitation*

Changes in other variables are:

- *Grid/HQprecipitation* → *Grid/Intermediate/MWprecipitation*
- *Grid/HQprecipSource* → *Grid/Intermediate/MWprecipSource*
- *Grid/HQobservationTime* → *Grid/Intermediate/MWobservationTime*
- *Grid/IRprecipitation* → *Grid/Intermediate/IRprecipitation*
- *Grid/IRkalmanFilterWeight* → *Grid/Intermediate/IRinfluence*
- *Grid/precipitationUncal* → *Grid/Intermediate/precipitationUncal*

See Table 5 in the IMERG ATBD for definitions of the variables. The variable names for the monthly file are not changed in V07.

- *Switched to CORRA V07 and GPROF V07.* The algorithms for the inputs to IMERG have been updated to V07. Notable improvements to CORRA are: a greater a priori constraint on the precipitation particle size distribution, a new clutter zone correction, and a consistent implementation between the GPM and TRMM algorithms; these changes result in reduced biases and greater consistency over the entire record. Notable improvements to GPROF are: a finer surface classification for coasts and new classes for mountains (with further subclasses for different orographic enhancement conditions), an adjustment to the a priori database for snow, and use of reanalysis data to construct the database for retrievals over sea ice; these changes lead to improved accuracies, especially under

challenging conditions. [CORRA, GPROF, and all other entries to and components of IMERG are summarized in the IMERG Technical Document, together with background references.]

- *Fixed spatial offset in gridding of CORRA and GPROF.* A bug in the gridding code causing a spatial offset of one grid box to the east was fixed, a critical improvement over all previous versions of IMERG. See Appendix 1: The IMERG Gridded Geolocation Correction.
- *Improved intercalibration of PMW to correct for biases.* Evaluations of IMERG V06 identified a persistent overestimation, which was traced to three factors that were separately addressed:
  1. The mean GMI/TMI precipitation rate is not constant along the scan, so the GMI/TMI-constellation calibration was modified to use the full-swath GMI/TMI matched to the narrower swath of CORRA-G/T.
  2. The GPROF-GMI, GPROF-TMI, and CORRA-G/T footprint sizes differ, so CORRA-G/T is averaged on  $0.2^\circ \times 0.2^\circ$  and  $0.3^\circ \times 0.3^\circ$  gridbox templates to approximately match GPROF-GMI and GPROF-TMI footprint sizes, respectively.
  3. The GPCP adjustment to CORRA in V06 was unrealistically raising winter precipitation over land and not capturing its longitudinal variability, so the GPCP adjustment is not applied over land in V07.The net effect of these changes was to neutralize the biases that had been driven by the calibration process; however, regime-dependent biases still remain in the GPROF retrievals.
- *Changed source of motion vectors.* In V06, IMERG switched to motion vectors based on reanalysis/model-based total precipitable water vapor (TQV) fields for morphing (Tan et al. 2019) instead of GEO-IR brightness temperatures (Tb). However, deficiencies in the motion vectors near orography led us to modify this calculation from a single variable in V06 to a hierarchy of reanalysis/model variables in the following order in V07: precipitation, total precipitable liquid water, then TQV. Evaluation of this change suggests an appreciable improvement in orographic regions and a marginal improvement globally.
- *Implemented automated IR quality control.* The geostationary IR Tb field sporadically exhibits distinct artifacts (stripes and arcs) in the Early and Late Runs and required manual intervention in the Final Run. A quality control mechanism was implemented in V07 that automatically scans for anomalous features and attempts to mask out these artifacts.
- *Adapted PDIR-NOW as the IR precipitation algorithm.* An adaptation of PDIR-NOW, involving dynamically shifting relationships between the IR brightness temperatures and the precipitation rate (Nguyen et al. 2020), replaced PERSIANN-CCS.
- *Increased the precipitation rate cap to 200 mm / h.* This was intended to be implemented in V06 but remnant internal checks capped the maximum rates to 50 mm / h in the IR module and 120 mm / h in the PMW module. These remnant caps were eliminated in V07, allowing the possibility of greater extremes in the IMERG estimates when the retrievals compute them.
- *Excluded SAPHIR from the PMW inputs.* Animations of preliminary V07 precipitation demonstrated that SAPHIR presented spatial patterns that were very different from those of other PMW sensors, although aggregate validation statistics for SAPHIR were reasonable. The IMERG team was unable to develop satisfactory adjustments in time for use in V07, so they chose to withhold SAPHIR data to allow continued development.
- *Implemented the SHARPEN scheme.* The averaging in the Kalman filter distorts the distribution of precipitation rates; in response, SHARPEN was developed and implemented in V07 to mitigate this issue (Tan et al. 2021). Effectively, this means that the SHARPEN-adjusted V07 Kalman filter precipitation rates have a distribution comparable with the aggregate of the propagated PMW and the IR inputs, weighted by their Kalman filter contributions.
- *Combined instantaneous PMW retrievals with propagated precipitation.* Motivated by the potential contribution of propagated precipitation (You et al. 2021), instantaneous PMW estimates are merged with propagated precipitation estimates—except the combination of instantaneous imagers and propagated sounders is not permitted over ocean—in the Kalman filter, leading to reduced inter-sensor differences and slightly improved performance in standard metrics. Furthermore, SHARPEN is now applied when the merger is performed. These changes increase the half-hourly Quality Index values on average and give a smoother visual appearance to animations.
- *Implemented a joint Fuchs-Legates undercatch correction to the gauge analysis.* The Legates-Wilmott scheme for undercatch in the GPCP gauges is too large at high latitudes, so a climatological Fuchs adjustment was implemented in V07 over Eurasia north of  $45^\circ\text{N}$ , while Legates-Wilmott continues to be used for all other land areas.
- *Applied climatological adjustment to the Early and Late Runs based on the Final Run.* Procedural issues in the reprocessing prevented its intended implementation in V06, so arrangements were made to ensure that this was

incorporated into the V07 near-real-time products. This should ensure more consistency in the bias characteristics among the Early, Late, and Final Runs.

- *Refined precipitation phase specification using gridded variables.* The precipitation phase estimates are calculated using thermodynamic variables from ERA5 for the Final Run, with a correction to the calculation of the wet-bulb temperature. The lookup tables used to estimate the precipitation phase also were recalculated using gridded variables from ERA5. These improvements result in higher probability of liquid phase estimates in V07 compared to V06, on average, especially near the 0°C wet-bulb temperature.
- *Included PMW estimates over frozen surfaces.* Prior to V07, IMERG dropped PMW retrievals for grid boxes with estimated surface type of snow/ice-covered land and sea ice. As such, the IMERG estimates were either purely IR-based (in the latitude band 60°N-S) or set to missing (outside the IR coverage) over frozen surfaces. An assessment of the GPROF V07 PMW estimates over frozen surfaces suggested that they may be useful, so they are now included in IMERG V07. The result is that, except for a handful of grid boxes (mostly at the poles), IMERG has complete global coverage. Nevertheless, users should be aware of the diminished performance of estimates at the polar latitudes, exercise appropriate caution in their interpretation of the results, and account for the reduced skill in their applications. Accordingly, the half-hourly Quality Index (QI) values for precipitation estimates over frozen surfaces are reduced based on You et al. (2023) to reflect the lower confidence. The monthly QI was not changed because gauges are also less certain in polar regions, but we don't currently have a good basis for changing the gauge coefficients in the monthly QI.
- *Rounded off precipitation rate values.* Precipitation rates are rounded to the nearest 0.01 mm / h for half-hourly datasets and 0.001 mm / h for the monthly datasets. Motivated primarily by the improved dataset compression—about 25-30% reduction in file size—this rounding is not considered to have a practical effect as these thresholds are well below the expected uncertainties for the precipitation rates. However, it should be noted that, as a result of the rounding difference between the half-hourly and monthly datasets, the monthly mean of the half-hourly precipitation may not equal the monthly precipitation especially over regions of very light precipitation.
- *Dropped orbits with bad GPROF retrievals.* The current IMERG V07B Final Run is a second retrospective processing for V07, computed after the removal of occasional orbits in various sensors that displayed bad GPROF retrieval values. See Appendix 3 for more details.

### Known Issues in V07

- *IMERG prioritizes fine-scale accuracy over climate-scale consistency.* IMERG is designed to give the best precipitation estimate for each grid box at each time, using whatever inputs are available, a design known as a High Resolution Precipitation Product (HRPP; Turk et al. 2008). This contrasts with products such as the Global Precipitation Climatology Project (GPCP) datasets, which are designed to provide long-term homogeneity by downselecting the input data sets to a few long-term, carefully intercalibrated data sources, a design known as Climate Data Records (CDR; National Research Council 2004). Therefore, any studies using IMERG for climate-scale studies (e.g., trends and interannual variability) should examine the consistency of the data's time series to ensure that the observed characteristics are not artifacts arising from an evolving constellation.
- *Skill in the GPROF V07 retrievals varies by surface type.* The GPROF V07 algorithm, used to retrieve precipitation from all PMW inputs for IMERG, has made progress in handling difficult surface types that tend to yield lesser quality results, including frozen surfaces, orographic areas, and coastal zones. It remains to be demonstrated how well the resulting retrievals work in each of these areas, and users should seek confirmation of the values in the corresponding IMERG estimates.
  - *Specifically, IMERG estimates over frozen surfaces should be examined critically.* As described above, the IMERG team believes that GPROF retrievals over frozen surfaces are sufficiently advanced that IMERG V07 includes such retrievals (for the first time), effectively providing fully global estimates, but they are flagged with low Quality Index values. Retrievals over Antarctica are particularly problematic due to the very low (and so hard-to-detect) rates of snowfall.
- *Calibration for estimates in the TRMM era outside the latitude band 37°N-S are somewhat more approximate.* TRMM only provides calibration for the constellation in the latitude band 37°N-S due to its orbital inclination of 35°. Outside of that band, a monthly climatology of GPM-based corrections is used, with an adjustment to match the TRMM-based corrections in the latitude band 30°-40° in each hemisphere.

- *Residual differences between the histograms of TMI and GMI are embedded in the IMERG results.* This means that, while the time series of mean values is consistent, the occurrence of extreme IMERG values and precipitation detection frequency will be somewhat different between the eras of TRMM and GPM calibration.
- *Occasional bad orbit segments of PMW input data are escaping current filtering schemes and causing artifacts in the GPROF retrievals in the Early and Late Runs,* although PPS rectifies these for the Final Run. Beginning in February 2023, the NRT MetOp 1C data stream has occasionally provided partial orbit granules that duplicate previous granules, but contain faulty data. PPS instituted QC procedures as of 11 July 2023 that can successfully screen out 12 of 16 known cases. Further work is necessary to capture the rest of the cases without mistakenly removing good granules. V06 Early and Late users should be aware of possible contamination by these artifacts in their times and locations of interest, mostly for the period February-11 July 2023, but still very occasionally while stronger filtering in the Level 1C processing is pursued. The new auto-encoding routine (that uncovered the ~40 TRMM/GPM era defective orbits) is being rushed to completion for NRT application “soon”.
- *Reduced weights for instantaneous sounder estimates over the ocean during the TRMM era.* An issue inherited from the V06 code that was not discovered until after V07A reprocessing has begun is the fact that instantaneous sounder estimates over the ocean during the TRMM era were assigned an artificially low weight in the Kalman filter. However, this same issue has a more consequential impact in V07 due to the inclusion of instantaneous PMW estimates in the Kalman filter, which effectively diminishes the contribution of instantaneous sounders during the TRMM era. This issue arose because the weights rely on climatological values computed from the GPM era, and the statistics between both eras are sufficiently different to trigger procedures intended to prevent unphysical behaviors.
- *The Combined Algorithm has an apparent jump in values at the TRMM orbit boost (August 2001).* We have identified this issue too recently to develop, implement, and test a fix in V07 IMERG. Tropical values rise around 4% over ocean and 10% over land from the pre- to the post-boost record. The Combined team is examining this issue for V08 and for implications in the recent GPM-CO orbit boost.

## Additional Notes

The IMERG development team welcomes user feedback on any aspect of IMERG performance. This information can be used to better inform other dataset users, and has proved valuable in the past for alerting the team to deficiencies that required attention.

## Key IMERG Documents

### Algorithm Theoretical Basis Document

<https://gpm.nasa.gov/resources/documents/imerg-v07-atbd>

The ATBD describes the IMERG V07 algorithm in detail.

### Technical Documentation

<https://gpm.nasa.gov/resources/documents/imerg-v07-technical-documentation>

The Technical Documentation is a detailed log of all information and news relating to IMERG, including decisions made in previous versions.

### Release Notes

<https://gpm.nasa.gov/resources/documents/imerg-v07-release-notes>

(This document.) The Release Notes summarizes key changes in the IMERG V07 algorithm, together with important information about its use.

### Algorithm Concept

Huffman et al. (2020), [https://link.springer.com/chapter/10.1007/978-3-030-24568-9\\_19](https://link.springer.com/chapter/10.1007/978-3-030-24568-9_19)

The Algorithm Concept is a published book chapter that contains the general idea of IMERG and is agnostic to version changes.

### FAQ for IMERG V07 Applications Users

<https://gpm.nasa.gov/resources/documents/imerg-v07-faq-applications-users>

This FAQ document provides information specifically aimed at IMERG V07 Applications Users.

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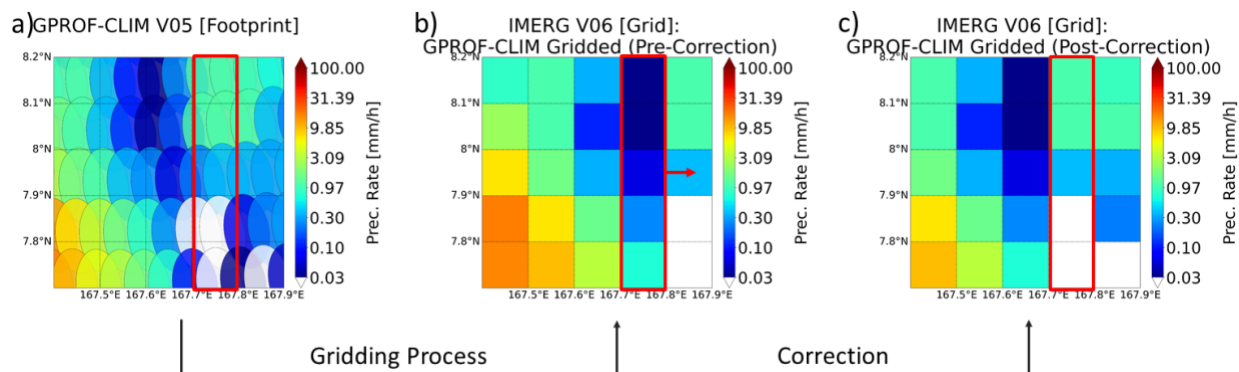
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## Appendix 1: The IMERG Gridder Geolocation Correction

Daniel C. Watters, George J. Huffman  
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### The Problem

The first step in the IMERG algorithm is to grid the Level 2 precipitation estimates from each of the TRMM/GPM passive microwave (PMW) constellation members onto the  $0.1^\circ$  grid for a particular half-hour (Huffman et al. 2019). In Summer 2022, a geolocation error was identified in the IMERG V06 gridding code (*Figure 1*); **IMERG's PMW estimates were incorrectly geolocated by  $0.1^\circ$  eastward in the latitude band  $75^\circ\text{N-S}$  in V06 and all preceding versions.** A similar geolocation error was also present for the CORRA estimates, used to calibrate the PMW estimates, but by  $0.025^\circ$  eastward in the latitude band  $75^\circ\text{N-S}$  in V06 and all preceding versions because the CORRA estimates are first mapped onto a fine  $0.025^\circ$  grid using the IMERG gridded before coarsening to  $0.1^\circ$ . **These geolocation errors directly affect the PMW component of IMERG V06, but their effect propagates through the morphing algorithm to affect most of the precipitation estimates. In V07, this gridded geolocation error was rectified.**



*Figure 1: An example (July 12, 2019; GMI Granule 30509) of the IMERG PMW gridded geolocation error and the necessary correction. a) The GPROF climate product (GPROF-CLIM) V05B precipitation estimates computed from the GMI footprint measurements. b) The original gridding of the GPROF-CLIM V05B precipitation estimates by the IMERG V06B algorithm, which includes the geolocation error. c) The corrected version of the IMERG V06B gridding (showing a  $0.1^\circ$  [one-pixel] shift westward for all grid boxes). The red box highlights cases where the geolocation error in the IMERG gridding is clear; however, note that the one-gridbox offset applies to all gridboxes. Also, note that these gridded values are not yet calibrated, which happens in computing the HOprecipitation variable (called MWprecipitation in V07).*

We remark that, **when present (in V06 and all prior versions), this geolocation error cannot be corrected in any of the precipitation outputs because it occurs in the first step of the IMERG algorithm**, and each of the IMERG file precipitation outputs are from later steps in the algorithm that (in general) would have mixed in information that is correctly gridded.

### The Details

- *Is the PMW geolocation in IMERG V07 correct?*  
Yes, gridding for IMERG V07 was corrected and does not include the offset error.
- *Which versions of IMERG are affected by the gridded PMW geolocation error?*  
IMERG V06 and all preceding versions include this error.
- *Why does the PMW geolocation error only occur at latitudes between  $75^\circ\text{N-S}$ ?*  
The IMERG algorithm grids PMW footprints separately in three zones:  $75^\circ\text{N-}90^\circ\text{N}$ ,  $75^\circ\text{N-}75^\circ\text{S}$ , and  $75^\circ\text{S-}90^\circ\text{S}$ . The geolocation error was caused by an indexing mistake in one line of code for the central band; however, the indexing is correct in the polar regions.
- *Why are PMW footprints gridded using different codes for polar and central regions?*  
The output is provided on a global cylindrical equidistant (CED) projection, so grid boxes near the poles have a much smaller surface area than those closer to the equator due to the convergence of longitude lines to the poles.

For stability, the polar regions are first gridded to a tangent plane, then transformed to the global CED grid, while the central band is gridded directly to the CED grid.

- *Can I correct the PMW geolocation error in IMERG V06 (and earlier versions) myself?*  
No, the PMW geolocation error is mixed with other correctly gridded fields.
- *Why has this error not been identified before now?*  
The IMERG PMW gridded was originally developed on a four-times-finer grid (i.e., 0.025° spatial resolution) that was averaged up to the IMERG grid resolution (i.e., 0.1°). The error, which is a shift to the east by one grid box, was less identifiable and consequential at 0.025°, and this error was further obscured in the averaging to the IMERG grid. Then, when IMERG switched to the current approach of gridding the PMW precipitation estimates straight to a 0.1°-resolution grid, this error in the code eluded notice despite now having a more severe impact and being more easily identified in visualizations such as Figure 1.

### Example Statistics

To better understand the impact of this gridded PMW geolocation error, the IMERG V06B PMW-gridded precipitation rates are compared to those from the ground radar (GR) on the Kwajalein Atoll. Three case studies are considered in *Table 1*, with a visualization of one of the case studies shown in *Figure 1*. Note that the Kwajalein GR precipitation rates, as provided using the GPROF-footprint scale in the GPM Validation Network GRtoGPROF matchup files (Gatlin et al., 2020; NASA-GSFC, 2015), are gridded using IMERG’s method for gridding PMW footprints.

*Table 1: Statistical comparison between IMERG V06B PMW-gridded precipitation estimates and those from the Kwajalein Atoll ground-based radar (GR) for both original and corrected data. The statistics are deduced from all pixels within 125-km of the GR (see Figure 2). The mean relative bias is defined as the sum of IMERG-PMW estimates minus GR estimates, divided by the sum of GR estimates. Red text highlights better statistics.*

Case Study	Mean Relative Bias [%]		Standard Deviation [%]		Correlation	
	Pre-Correction	Post-Correction	Pre-Correction	Post-Correction	Pre-Correction	Post-Correction
20151006 G009116 14:30-14:59	<b>64</b>	<b>57</b>	<b>94</b>	<b>86</b>	<b>0.39</b>	<b>0.50</b>
20190712 G030509 20:00-20:29	<b>47</b>	<b>51</b>	<b>119</b>	<b>85</b>	<b>0.70</b>	<b>0.87</b>
20201223 G038755 22:00-22:29	<b>63</b>	<b>63</b>	<b>159</b>	<b>121</b>	<b>0.44</b>	<b>0.68</b>

*Table 1* demonstrates that the standard deviation in differences between IMERG-PMW and the GR in the Kwajalein oceanic domain consistently decreases across different case studies when the offset correction is applied. This is expected as the geolocation offset increases the variability in pixel-by-pixel differences. Furthermore, the correlation between IMERG-PMW and GR precipitation rates increases once the correction is applied. However, the mean relative bias does not always decrease in the data because it depends on the values that get shifted into or out of the averaging domain across the (curved) east and west boundaries (e.g., see *Figure 2*).

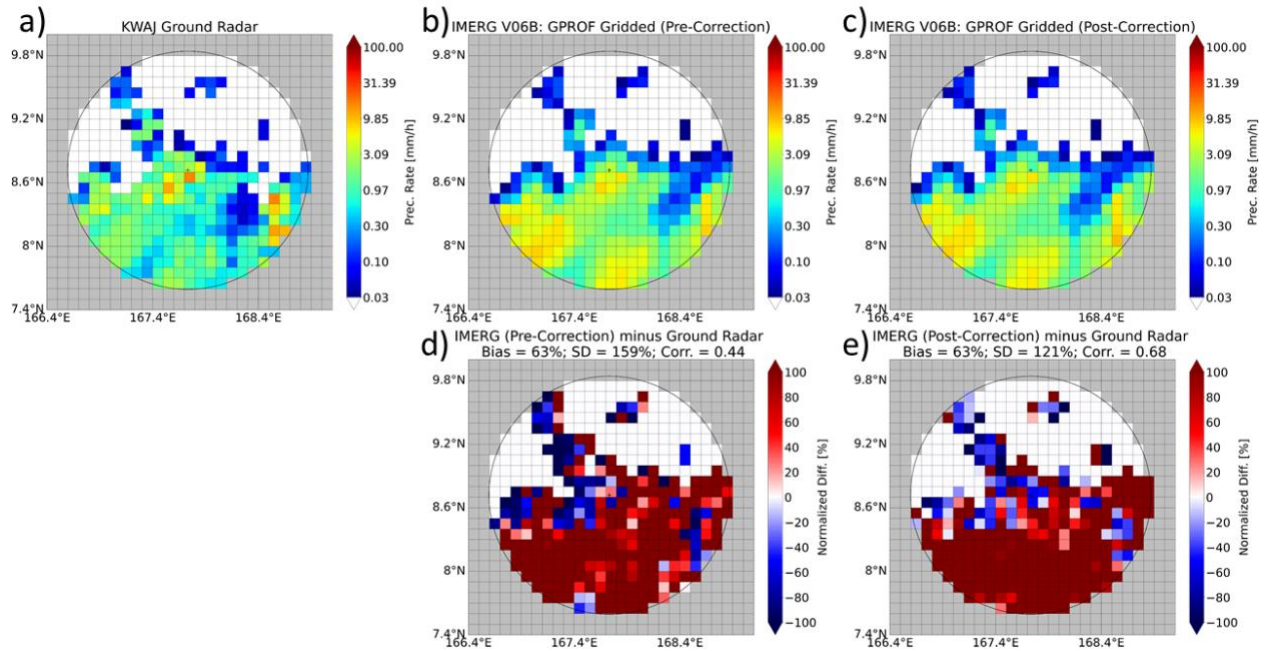


Figure 2: A comparison on December 23, 2020 - 22:00-22:29; (GMI granule 038755). a) The Kwajalein GR precipitation estimates and the IMERG-PMW V06B precipitation estimates, b) prior to the geolocation correction and c) with the geolocation correction. IMERG-PMW d) pre-correction and e) post-correction minus the GR are both normalized by the GR estimates.

Figure 2 showcases the third case study in Table 1. While the satellite-GR differences are smaller with the geolocation correction (particularly note the decreased standard deviation and increased correlation), the satellite-GR standard deviation remains large due to the innate differences between the satellite and GR precipitation estimates (i.e., satellite observing precipitation from a spaceborne vantage, GR range effects due to Earth's curvature, etc.).

## The Impact

The IMERG PMW gridded geolocation offset in V06 and earlier versions has several impacts:

- *Fine-Resolution Data*  
 IMERG validation studies and applications using V06 data (and earlier versions) at the native  $0.1^\circ$  spatial resolution are affected the most by this error. Note that the error is greater for GPM PMW constellation sensors with finer footprint sizes (e.g., GMI, AMSR2), while IMERG assessments at coarser spatial resolutions are less affected. Early analyses of the PMW-only component of IMERG at the native resolution (Table 1 and Figure 2) suggest that the correction reduces the standard deviation in pixel biases and increases the correlation with GR estimates.
- *Aggregated Data*  
 Studies that use aggregated IMERG data (e.g., histograms collected across time and/or space) are minimally affected by the error. The examples in Table 1 and Fig. 2 evidence this by showing that the mean relative bias is minimally affected by the offset.
- *Publications*  
 Users of IMERG V06 (and earlier versions) should note this error in reports/publications in which it is likely to affect their results.

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## Appendix 2: Initial Evaluation of IMERG V07

Jackson Tan, Yagmur Derin, Pierre Kirstetter, Jianxin Wang, David B. Wolff, George J. Huffman  
12 July 2023

Initial evaluations of IMERG V07 Late Run test data suggest that the changes implemented lead to improved skill. A comparison of IMERG V07 against GV-MRMS demonstrated an appreciable increase in Heidke Skill Score and correlation coefficient as well as a reduction in the magnitude of the bias (Figure 3).

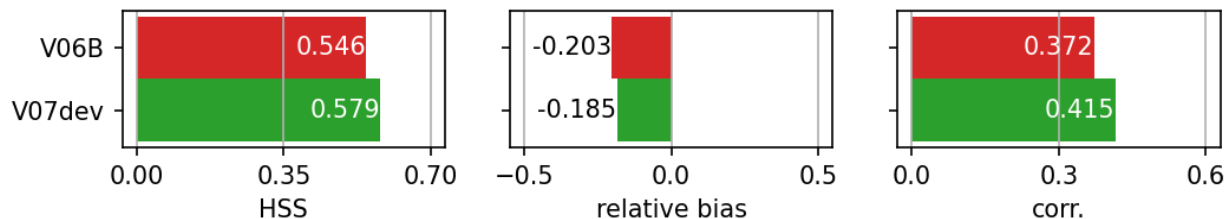


Figure 3: Evaluation of IMERG V06B precipitationCal and IMERG V07dev precipitation against GV-MRMS over the contiguous U.S. for Jun-Aug 2014.

One notable issue in IMERG V06B is a severe overestimation of wintertime precipitation events, especially prior to the gauge adjustment in the Final Run. For a case study of a winter storm in March 2015 over the U.S., IMERG V07 precipitationUncal (the satellite product without gauge adjustment) demonstrated a substantial reduction in the overestimation of the daily accumulation (Figure 4). This is primarily due to the removal of the GPCP adjustment to CORRA over land. It is also expected that this and other changes in the intercalibration process will address the overestimation of intense satellite-only precipitation rates seen in V06. This improvement primarily affects the Early and Late Runs, as well as the Final Run over oceans. [Gauge adjustment over land already addresses this issue in the complete Final product.]

In V06B, concerns about the skill of PMW precipitation estimates over frozen surfaces led to its masking. Effectively, this meant that estimates within 60°N/S used only IR estimates while estimates at higher latitudes were missing. However, comparisons of the V07 PMW estimates and the V07 IR

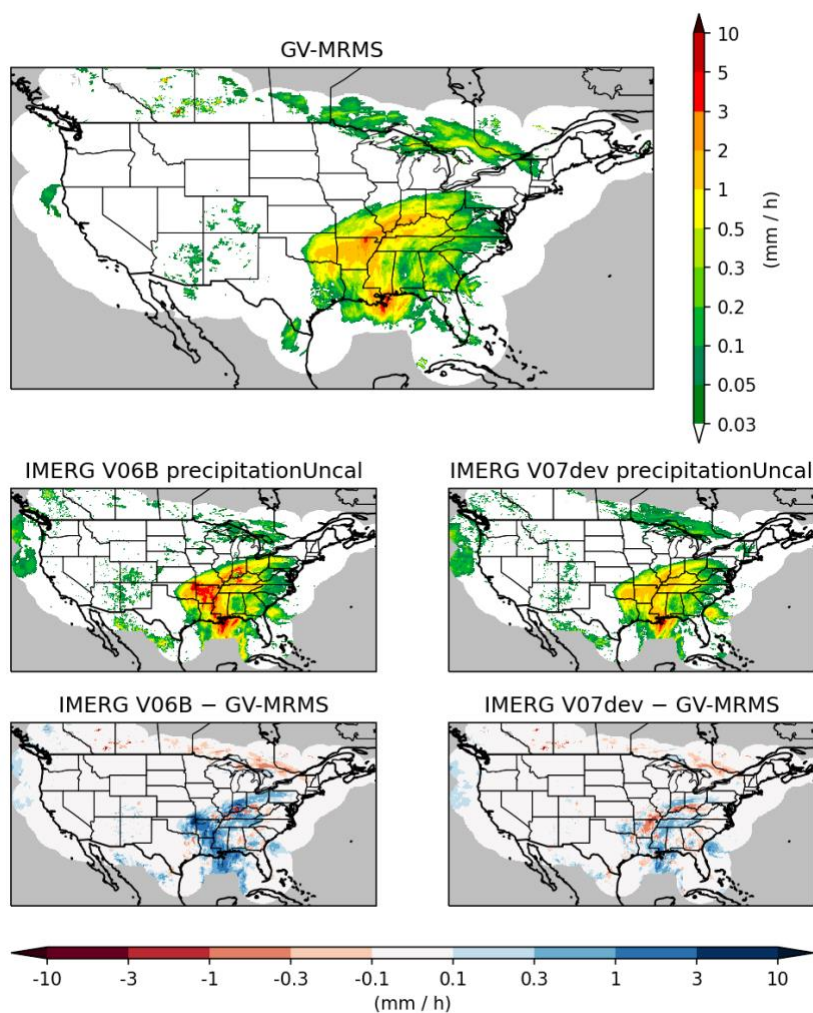


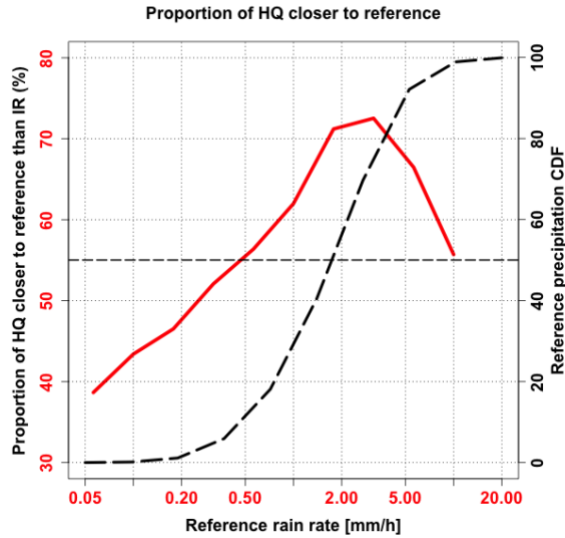
Figure 4: Comparison of the daily accumulations of IMERG V06B precipitationUncal and IMERG V07dev precipitationUncal against GV-MRMS for a winter storm on 13 March 2015.

estimates against GV-MRMS over frozen surfaces suggested that a greater fraction of PMW estimates are closer to GV-MRMS than IR estimates above 0.5 mm / h, which comprises about 90% of the samples (*Figure 5*). This result, together with visual observations of PMW estimates at polar regions, justifies the inclusion of PMW estimates over frozen surfaces in V07.

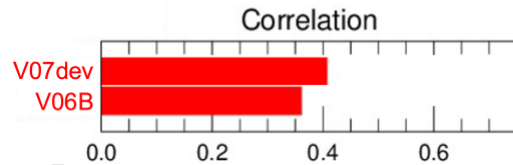
The improved skill of IMERG V07 is also observed over the ocean. An evaluation of the V07 test results against the KPOL radar on Kwajalein atoll revealed an increased correlation (*Figure 6*), as well as better scores in several other metrics considered. The improvement is attributed to the fix to the geolocation offset, the use of SHARPEN, the inclusion of PMW estimates into the Kalman filter, and refined algorithms for the input products.

A comparison of the time-series of precipitation statistics over the ocean between 60°N/S revealed salient similarities and differences between IMERG V06B and V07 (*Figure 7*). The most striking similarity between the two versions is that both time-series have similar variability for each of the statistics. For the mean precipitation rate, IMERG V07 is close to V06B, though with a very slight reduction. Comparing the fractions of precipitation greater than 0 mm / h and of at least 0.1 mm / h revealed the impact of SHARPEN in reducing the excessive occurrence of low precipitation rates stemming from the averaging process. Interestingly, the fraction of precipitation of at least 10 mm / h is reduced in V07. This occurs despite the expected increase of intense precipitation due to SHARPEN, likely because of the refined PMW intercalibration process. This is a desirable outcome given the known issue of IMERG V06B overestimating intense precipitation over the ocean, and increases confidence in the V07 products.

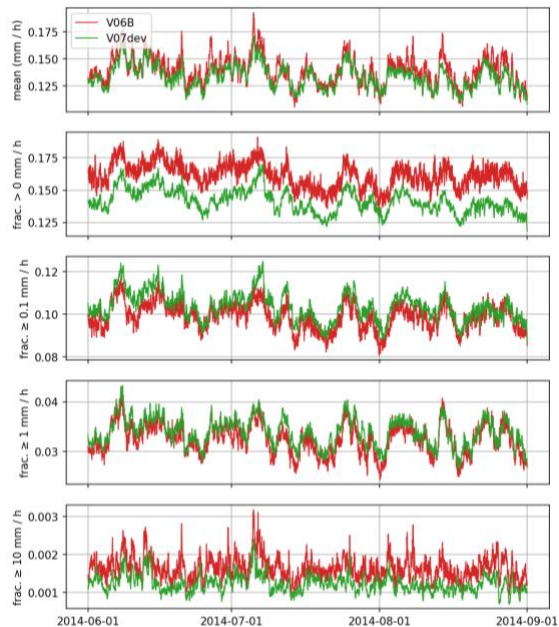
In summary, these initial evaluation results indicate that IMERG V07 represents improvements on multiple fronts compared to IMERG V06B.



*Figure 5: The proportion of the V07 PMW (or HQ) estimates that are closer to GV-MRMS compared to the V07 IR estimates as a function of intensity (red line), as well as the cumulative distribution of the GV-MRMS precipitation rates (black dashed line).*



*Figure 6: Correlation of IMERG V06B precipitationCal and IMERG V07 precipitation against KPOL over Jun–Aug 2014.*



*Figure 7: Time-series of IMERG V06B and V07 precipitationUncal statistics over the ocean between 60°N/S in Jun–Aug 2014.*

## Appendix 3: Orbits with Bad GPROF Retrievals

George Huffman, Jackson Tan, Joyce Chou  
20 December 2023

After the V07 IMERG Final Run was retrospectively processed, occasional orbits in various sensors displayed bad GPROF retrieval values. An auto-encoding routine developed by Jackson Tan was developed for IMERG, then adapted to Level 2 GPROF data, eventually yielding 162 bad orbits affecting the creation of 220 PPS orbit granules (out of the many thousands of orbits). A few of these orbits were previously flagged as having bad Level 1C channel values, flagging that was honored by GPROF in V05, but missed in V07. However, many more were newly discovered and therefore contaminated the GPROF record before V07. Due to the magnitudes of the errors and the manner of IMERG processing, it was decided to again retrospectively process the entire V07 IMERG Final Run, incrementing the version number to V07B. Thereafter, the auto-encoding routine is being run as a post-processor for GPROF orbits to catch any new problem orbits, with the intent that it will eventually be incorporated into GPROF. The screening for bad orbits was extended to the pre-TRMM DMSP record, revealing another ~200 bad orbits for F08. This is not terribly surprising given the progressive failure of both 85 GHz channels.

*Table 2: Listing of Level 2 GPROF orbit files deleted from the original V07 computation due to contamination by bad data. This includes both those orbits directly identified by the auto-encoding routine as contaminated using sensor-dependent scoring thresholds and manual review (denoted by italic) and orbits that had to be deleted due to contamination by adjacent orbits in PPS processing. See <https://gpm.nasa.gov/sites/default/files/2020-02/FileNamingConventionForPrecipitationProductsForGPMMission.pdf> for the file naming convention. The lists are available as text files on request.*

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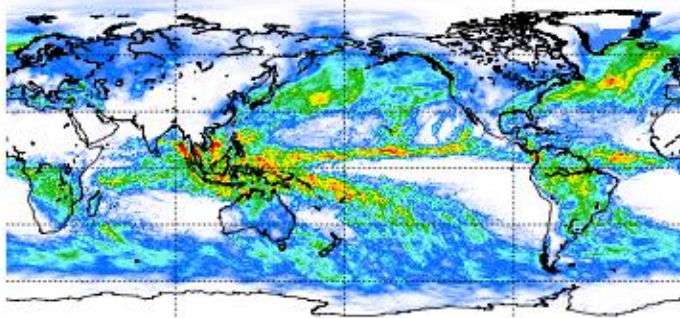
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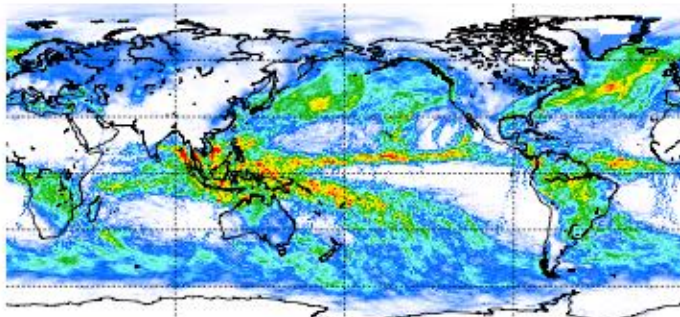
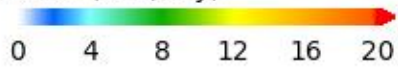
Fig. 8b shows a particularly bad example of bad GPROF retrievals contaminating the monthly average of precipitation in IMERG V07A Final Run for December 2016. Fig. 8a shows the new IMERG V07B Final Run, and Fig. 8c shows the difference. In Fig. 8b note the swath-shaped streaks of light precipitation in the subtropical highs in the eastern Pacific and eastern Atlantic, which Fig. 8c reveals to be part of the defective retrievals. Background work identified the particular orbits and helped motivate development of the auto-encoding routine for comprehensive scanning of all GPROF Level 2 orbit segments that resulted in the listing in Table 2. Note that some “bad” retrievals have a different appearance, in which the orbit granule is entirely covered by non-zero (but reasonable) precipitation values that appear as swirly patterns.



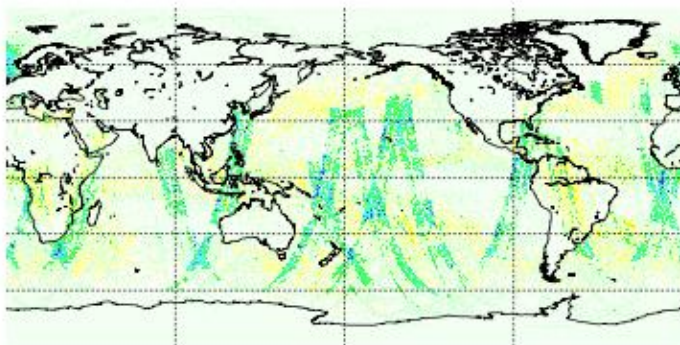
## December 2016 Precipitation



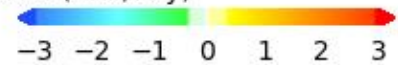
(a) IMERG V07B (mm/day)



(b) IMERG V07A (mm/day)



(c) Difference (mm/day)



*Fig. 8: Example of a) re-retrospectively processed IMERG V07B Final Run, b) the original retrospectively processed IMERG V07 (now V07A) Final Run, and c) the difference for December 2016, a month with a few known bad GPROF orbits.*